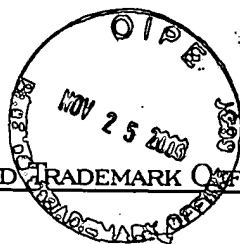




UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/632,436	08/01/2003	Michael F. Thomashow	21835-00004

27144

FOSTER, SWIFT, COLLINS & SMITH, P.C.
 313 SOUTH WASHINGTON SQUARE
 LANSING, MI 48933

CONFIRMATION NO. 3828

FORMALITIES LETTER



OC000000011074450

Date Mailed: 10/21/2003

NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- o A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov**

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

[Handwritten signature]

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



11-26-03

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael F. Thomashow, et al
Serial No.: 10/632,436
Filing Date: 08-01-2003
Title: Transcription Factors to Improve Plant Stress Tolerance

Art Unit: 1638
Examiner: Unknown

Mail Stop Missing Parts

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Transmittal Letter

Correspondence relating to this application is enclosed.

1. Response to Notice to Comply with Requirement for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures;
2. Statement Pursuant to 37 CFR 1.821(f);
3. CD-R containing the revised Sequence Listing for the above-referenced application being filed herewith. The CD-R contains the following information:

Files: Seqlist.txt 4,000 bytes Created 11/20/2003
Machine Format: IBM Compatible
Operating System
Compatibility: Windows XP

4. Revised Sequence Listing; and
5. Copy of Notice of Comply.

Respectfully submitted,

Dated: 23 Nov. 2003

By: John M. Naber
John M. Naber (Reg. No. 46,487)
313 South Washington Square
Lansing, MI 48933
(517) 371-8293

Certificate of Mailing by Express Mail

Express Mail Label No. EV 210558015 US

I hereby certify under 37 CFR §1.10 that this correspondence with all referenced attachments is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date of Deposit: 11-25-03
Signature: Lorri A. Rosier
Lorri A. Rosier



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael F. Thomashow, et al
Serial No.: 10/632,436
Filing Date: 08-01-2003
Title: Transcription Factors to Improve Plant Stress Tolerance

Art Unit: 1638
Examiner: Unknown

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

**Response to Notice to Comply with Requirements for Patent Applications
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures**

Enclosed herewith is a revised compact disk containing the Sequence Listing in Computer Readable Format (CRF) for the above-referenced application. The new disk includes the required changes indicated on the marked-up "Raw Sequence Listing" that was included in the notice. Also enclosed is a revised paper copy of the Sequence Listing containing the same amendments as the CRF copy.

If you have any questions regarding this Response, please call undersigned at the number indicated below.

Respectfully submitted,

Dated: 23 Nov. 2003

By: John M. Naber
John M. Naber (Reg. No. 46,487)
313 South Washington Square
Lansing, MI 48933
(517) 371-8293

Certificate of Mailing by Express Mail

Express Mail Label No. EV 210558015 US

I hereby certify under 37 CFR §1.10 that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee with sufficient postage on the date indicated below and is addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

Date of Deposit: 11-25-03

Signature: Lorri A. Rosier

Lorri A. Rosier